

Motivic cohomology actions and the geometry of eigenvarieties

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These informal notes discuss a recent joint paper of Jack Thorne and myself.

A basic fact of life

Let \mathbf{G} be a connected reductive group over \mathbf{Q} . Set $G_\infty = \mathbf{G}(\mathbf{R})$, and let $K_\infty \subset G_\infty$ be a maximal compact-mod-center subgroup, so $D_\infty = G_\infty/K_\infty$ is the usual symmetric space for \mathbf{G} . Following the notation in Borel and Wallach's book, we set

$$l_0 = \text{rank}(G_\infty) - \text{rank}(K_\infty)$$

and

$$q_0 = \frac{1}{2}(\dim D_\infty - l_0).$$

These are both nonnegative integers.

For any open compact subgroup $K \subset \mathbf{G}(\mathbf{A}_f)$ we have the usual locally symmetric quotient

$$\begin{aligned} Y_K &= \mathbf{G}(\mathbf{Q}) \backslash (D_\infty \times \mathbf{G}(\mathbf{A}_f)) / K \\ &= \coprod_i \Gamma_{K,i} \backslash D_\infty. \end{aligned}$$

It's a basic fact of life that the integer l_0 controls, to a remarkable degree, the geometry and arithmetic of the Y_K 's and associated automorphic representations of $\mathbf{G}(\mathbf{A})$. Here are some examples of this principle:

1) (Harish-Chandra) The semisimple group G_∞^{ad} has a discrete series if and only if $l_0 = 0$. If D_∞ is Hermitian symmetric (i.e. the Y_K 's are Shimura varieties), then $l_0 = 0$.

2) (Bergeron–Venkatesh) The integral cohomology groups

$$H^*(Y_K, \mathbf{Z})$$

conjecturally have a large (relative to $\text{vol}(Y_K)$) torsion subgroup if and only if $l_0 = 1$.

3) (Borel–Wallach, Zuckerman) Let $\mathcal{L}_{\lambda, \mathbf{C}}$ be an irreducible algebraic representation of $\mathbf{G}(\mathbf{C})$. If π is a cuspidal automorphic representation of $\mathbf{G}(\mathbf{A})$ such that π_∞ is tempered and cohomological of weight λ , then the π -part of $H^n(Y_K, \mathcal{L}_{\lambda, \mathbf{C}})$ is nonvanishing only for $n \in [q_0, q_0 + l_0]$, in which case

$$\dim H^n(Y_K, \mathcal{L}_{\lambda, \mathbf{C}})_\pi = m_{\pi, K} \cdot \binom{l_0}{n - q_0}.$$

Here $m_{\pi, K}$ is an integer (possibly zero).

4) Let π be a tempered cohomological cusp form as in the previous example. Then conjecturally we have

$$\text{ord}_{s=0} L(s, \text{ad}^0 \pi) = l_0.$$

This is known in many cases, e.g. when $\mathbf{G} = \text{Res}_{F/\mathbf{Q}} \text{GL}_n$ for some number field F/\mathbf{Q} . (Note: my convention for the adjoint representation ad^0 is that $L(s, \pi \otimes \pi^\vee) = \zeta_F(s) L(s, \text{ad}^0 \pi)$ when $\mathbf{G} = \text{Res}_{F/\mathbf{Q}} \text{GL}_n$, i.e. I'm stripping off the trivial part of the adjoint representation of ${}^L \mathbf{G}$.)

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5) (Hida, Urban) Let $\mathfrak{X} = \mathfrak{X}_{\mathbf{G}, K}$ denote the eigenvariety for \mathbf{G} (of some tame level K), with its weight map $w : \mathfrak{X} \rightarrow \mathcal{W} = \mathcal{W}_{\mathbf{G}, K}$. Let $x \in \mathfrak{X}$ be a “noncritical” point associated with a tempered cohomological cusp form π . Then any irreducible component of \mathfrak{X} containing x has conjectural dimension $\dim \mathcal{W} - l_0$.

Venkatesh has recently conjectured a remarkable “arithmetic enhancement” of 3) above. Our paper explores a surprising link between Venkatesh’s conjecture and 5).

Venkatesh’s conjecture

For simplicity, let me restrict to the case where $\mathbf{G} = \text{Res}_{F/\mathbf{Q}} \text{GL}_n$ for some number field F/\mathbf{Q} with r_1 (resp. r_2) real (resp. complex) places as usual. A fun calculation (left to the reader) shows that $l_0 = \lfloor \frac{n-1}{2} \rfloor r_1 + (n-1)r_2$ in this case, so e.g.:

- $l_0 = 0$ exactly when F is totally real and $n = 2$,
- $l_0 = 1$ exactly when $F = \mathbf{Q}$ and $n \in \{3, 4\}$, or when F has one complex place and $n = 2$.

Let π be a regular algebraic cusp form on \mathbf{G} . According to a fundamental conjecture of Clozel, there should be an irreducible rank n Grothendieck motive M_π over F with coefficients in some number field E containing the field of Hecke eigenvalues of π , such that the Frobenius eigenvalues on the λ -adic realizations $M_{\pi, \lambda}$ match up with the Hecke eigenvalues of π in the usual way. Now, the cohomology groups in 3) make equally good sense with coefficients in E rather than \mathbf{C} , and Venkatesh’s conjecture is then the following:

Conjecture. *There is a canonical E -vector space V_π of dimension l_0 together with a canonical (degree-lowering) action of the exterior algebra $\wedge_E^* V_\pi$ on*

$$H^*(Y_K, \mathcal{L}_{\lambda, E})_\pi$$

making the latter finite free and generated in degree $q_0 + l_0$ as a graded module over the former. The vector space V_π is given explicitly by

$$V_\pi = \text{Ext}_{\mathcal{M}\mathcal{M}\text{ot}_{\mathcal{O}_F}}^1(\mathbf{1}, \text{ad}^0 M_\pi(1))$$

where $\mathcal{M}\mathcal{M}\text{ot}_{\mathcal{O}_F}$ denotes a putative category of mixed motives over \mathcal{O}_F (with coefficients in E).

Note that this conjecture would “explain” the dimensions of the π -parts in 3) above in a purely arithmetic fashion.

Why might you believe this? As a first sanity check, note that for any finite place λ of E , the λ -adic realization functor on mixed motives should induce an isomorphism

$$V_\pi \otimes_E E_\lambda \cong H_f^1(F, \text{ad}^0 M_{\pi, \lambda}(1)),$$

and the Bloch-Kato conjecture predicts $\text{ord}_{s=0} L(s, \text{ad}^0 \pi) = l_0$ as the dimension of this H_f^1 , so the conjectural dimension of V_π is at least correct.

A more serious shadow of the conjecture is that, if this conjecture were true, we would get in particular an isomorphism

$$H^{q_0}(Y_K, \mathcal{L}_{\lambda, E})_\pi \cong H^{q_0+l_0}(Y_K, \mathcal{L}_{\lambda, E})_\pi \otimes_E \det_E V_\pi.$$

Now in many cases, the “comparison” of rational structures on H^{q_0} and $H^{q_0+l_0}$ can be related to periods of π and then to adjoint L -values; on the other hand, comparison of rational structures on $\det_{\mathbf{R}} V_\pi \otimes \mathbf{R}$ should give rise to the periods intervening in Beilinson’s conjecture for $L(1, \text{ad}^0 \pi)$.

A summary of our results

We now restrict further to the case $F = \mathbf{Q}$ (the actual setting considered in our paper, although our ideas work equally well over a general F). So, let π be a regular algebraic cuspidal automorphic representation on $\mathbf{G} = \text{GL}_n/\mathbf{Q}$ as above, contributing to some $H^*(Y_K, \mathcal{L}_{\lambda, \mathbf{C}})$ as in 3). Let $\lambda = (k_1 \geq k_2 \geq \dots \geq k_n)$ be the highest weight of $\mathcal{L}_{\lambda, \mathbf{C}}$, and let $E \subset \mathbf{C}$ be the number field generated by the Hecke eigenvalues of π . Choose a prime p such that π_p is unramified with regular semisimple Satake parameter, and let $\alpha = (\alpha_1, \dots, \alpha_n)$ be a

fixed ordering on the eigenvalues of $\text{rec}(\pi_p \otimes |\det|^{\frac{1-n}{2}})(\text{Frob}_p)$. (These eigenvalues give the Satake parameter of π_p up to scalar multiple, and there are $n!$ such orderings. When $n = 2$ and π is generated by a newform of weight k and level prime to p , the α_i 's are the roots of the usual polynomial $X^2 - a_f(p)X + \varepsilon_f(p)p^{k-1}$.) Fix an isomorphism $\iota : \mathbf{C} \xrightarrow{\sim} \overline{\mathbf{Q}_p}$, and let $L \subset \overline{\mathbf{Q}_p}$ be the finite extension of \mathbf{Q}_p generated by $\iota(E)$ and the $\iota(\alpha_i)$'s. From now on, I'll typically suppress ι .

Let N be the conductor of π . Let $\Gamma_1(N) \subset \text{GL}_n(\mathbf{Z})$ be the usual group of matrices with lowest row $\equiv (0, \dots, 0, 1) \pmod{N}$, and let $\Gamma_1(N; p) \subset \Gamma_1(N)$ be the subgroup of matrices which are upper-triangular modulo p . For brevity, we set

$$H_\pi^i = H^i(\Gamma_1(N), \mathcal{L}_{\lambda, L})_\pi$$

and

$$H_{\pi, \alpha}^i = H^i(\Gamma_1(N; p), \mathcal{L}_{\lambda, L})_{\pi, \alpha}.$$

Here the subscripts $(-)_\pi$ (resp. $(-)_{\pi, \alpha}$) denote the π -part (resp. the (π, α) -part) of this cohomology (see the paper for details). By a direct calculation using the aforementioned results of Borel–Wallach together with results of Clozel, Franke–Schwermer, Jacquet–Piatetski-Shapiro–Shalika, etc., we prove that the L -vector spaces H_π^i and $H_{\pi, \alpha}^i$ vanish for $i \notin [q_0, q_0 + l_0]$ and have L -dimension exactly $\binom{l_0}{n - q_0}$ otherwise. Our goal is to say something about Venkatesh's conjecture for the cohomologies $H_{\pi, \alpha}^*$ and H_π^* .

Let \mathfrak{X} denote the eigenvariety for GL_n/\mathbf{Q} of tame level $\Gamma_1(N)$ with its weight map $w : \mathfrak{X} \rightarrow \mathcal{W}$. By the construction of \mathfrak{X} , there is a natural algebra map $\phi : \mathcal{T} \rightarrow \mathcal{O}(\mathfrak{X})$ where \mathcal{T} is the usual “abstract” Hecke algebra generated over \mathbf{Q}_p by the usual operators $T_{\ell, i}$ ($\ell \nmid Np$) and $U_{p, i}$ for $1 \leq i \leq n$. Assuming that the refinement α satisfies a “small slope” condition, we construct a canonical point $x = x(\pi, \alpha) \in \mathfrak{X}(L)$ of weight λ attached to the pair (π, α) . Let $\mathbf{T}_x = \widehat{\mathcal{O}_{\mathfrak{X}, x}}$ and $\Lambda = \widehat{\mathcal{O}_{\mathcal{W}, \lambda}}$ be the completed local rings of the eigenvariety and of the weight space at x and $\lambda = w(x)$, respectively, so \mathbf{T}_x is naturally a finite Λ -algebra. We set things up in such a way that these are naturally complete local Noetherian L -algebras with residue field L (in particular $\Lambda \simeq L[[t_1, \dots, t_n]]$). We also construct a finite faithful \mathbf{T}_x -module $H_x^* = \bigoplus_{0 \leq i \leq \dim D_\infty} H_x^i$ of p -adic automorphic forms, with the further property that

$$H_x^{q_0+l_0} \otimes_\Lambda L \cong H_{\pi, \alpha}^{q_0+l_0}$$

canonically and Hecke-equivariantly.

In this setting, Hida and Urban conjecture the equality

$$\dim \mathbf{T}_x = \dim \Lambda - l_0 (= 1 + \lfloor n/2 \rfloor),$$

which we shall refer to as *the dimension conjecture (at x)*. For $n = 2$ this is classical; when $n \in \{3, 4\}$, I proved this result in my thesis. In general, Newton proved (using ideas from my thesis) the inequality $\dim \mathbf{T}_x \geq \dim \Lambda - l_0$.

Our first main result is the following theorem.

Theorem A. *Let notation and assumptions be as above, and assume the dimension conjecture holds at $x = x(\pi, \alpha)$. Then*

- (a) *The module H_x^i vanishes for $i \neq q_0 + l_0$, and $H_x := H_x^{q_0+l_0}$ is free of rank one over \mathbf{T}_x .*
- (b) *There exist canonical isomorphisms*

$$\text{Tor}_i^\Lambda(H_x, L) \cong H^{q_0+l_0-i}(\Gamma_1(N; p), \mathcal{L}_{\lambda, L})_{\pi, \alpha} = H_{\pi, \alpha}^{q_0+l_0-i}$$

for all $i \geq 0$.

- (c) *The map $\Lambda \rightarrow \mathbf{T}_x$ is surjective, and the ring \mathbf{T}_x is a complete intersection.*

(d) *Set $V_x = (\ker(\Lambda \rightarrow \mathbf{T}_x)) \otimes_\Lambda L$, an l_0 -dimensional L -vector space. Then there is a canonical degree-lowering action of $\wedge_L^* V_x$ on $H_{\pi, \alpha}^*$ which makes the latter free of rank one as a graded module over the former.*

Here is a sketch of the proof. The vanishing result for H_x^i , assuming the dimension conjecture, is essentially immediate from a lemma in commutative algebra; the relevant lemma was observed separately by Calegari–Geraghty and myself in the context of the Taylor–Wiles method. Since $H_x \otimes_\Lambda L \simeq L$, H_x is a quotient of Λ

by Nakayama's lemma, say with $H_x \simeq \Lambda/I$. Granted the vanishing of H_x^i for $i \neq q_0 + l_0$, we see that H_x is also faithful over \mathbf{T}_x . Since \mathbf{T}_x is a Λ -subalgebra of $\text{End}_\Lambda(H_x) = \Lambda/I$, we get $\mathbf{T}_x \cong \Lambda/I$ as well. This shows (a) together with the first part of (c).

For (b), we construct a canonical spectral sequence

$$\text{Tor}_{-i}^\Lambda(H_x^j, L) \Rightarrow H_{\pi, \alpha}^{i+j}$$

by adapting a spectral sequence for overconvergent cohomology from my thesis. By the vanishing result in (a), this degenerates to the claimed isomorphisms.

To see that \mathbf{T}_x is a complete intersection, it suffices (by our knowledge of dimensions plus the fact that Λ is complete regular local) to show that I can be generated by l_0 elements. For this, we examine the isomorphism in (b) for $i = 1$: since $H_x \simeq \mathbf{T}_x \cong \Lambda/I$ as Λ -modules, we get

$$H_{\pi, \alpha}^{q_0+l_0-1} \cong \text{Tor}_1^\Lambda(H_x, L) \simeq \text{Tor}_1^\Lambda(\Lambda/I, L) \cong I \otimes_\Lambda L,$$

but we already know $\dim H_{\pi, \alpha}^{q_0+l_0-1} = l_0$, so we conclude by Nakayama.

For (d), we observe that $\text{Tor}_*^\Lambda(\Lambda/I, L)$ (which is naturally a skew-commutative graded ring) acts on the graded module

$$\text{Tor}_*^\Lambda(H_x, L) \cong H_{\pi, \alpha}^{q_0+l_0-*}$$

with the latter free of rank one over the former; on the other hand, we prove that

$$\text{Tor}_*^\Lambda(\Lambda/I, L) \cong \wedge_L^*(I \otimes_\Lambda L) = \wedge_L^* V_x.$$

(Here we use that I is generated by a regular sequence.)

The space V_x is somewhat mysterious. Can we relate it to V_π ? There is a big hint here, as we've already said: one expects the p -adic realization functor on mixed motives to induce a canonical isomorphism

$$V_\pi \otimes_{E, \iota} L \cong H_f^1(\mathbf{Q}, \text{ad}\rho_\pi(1))$$

where $\rho_\pi : G_{\mathbf{Q}} \rightarrow \text{GL}_n(L)$ denotes the Galois representation associated with π (and ι) by the work of very many mathematicians: we mention in particular Eichler, Shimura, Deligne, Clozel, Kottwitz, Harris-Taylor, Morel, Shin, HLTT, and Scholze (please note that in what follows, we shall assume that ρ_π satisfies full local-global compatibility at all places, including p ; this is known when π is essentially self-dual, and in some cases beyond). But in order to see the appearance of an H_f^1 , we need another idea.

The idea now is to consider a Galois deformation problem $\mathcal{D}_{\pi, \alpha}$ on Artinian local L -algebras, consisting of deformations of ρ_π which are minimally ramified at primes away from p and *trianguline at p with a triangulation lifting the triangulation of $\rho_\pi|_{G_{\mathbf{Q}_p}}$ determined by α* . This deformation problem, appropriately defined, is pro-represented by a complete local Noetherian L -algebra $R_{\pi, \alpha}$, which moreover is canonically a Λ -algebra. We can then take advantage of the following circumstances:

- On the one hand, we believe in a natural “ $R = \mathbb{T}^n$ ”-type conjecture in this setting.
- On the other hand, the tangent space of $\mathcal{D}_{\pi, \alpha}$ (and its local-at- p analogue) is naturally related to H_f^1 's.

The necessary local-at- p trianguline deformation functor here was studied in detail by Bellaïche-Chenevier, and we draw heavily on their results. In particular, they prove that the tangent space $H_\alpha^1(\mathbf{Q}_p, \text{ad}\rho_\pi) \subset H^1(\mathbf{Q}_p, \text{ad}\rho_\pi)$ sits in a canonical short exact sequence

$$0 \rightarrow H_f^1(\mathbf{Q}_p, \text{ad}\rho_\pi) \rightarrow H_\alpha^1(\mathbf{Q}_p, \text{ad}\rho_\pi) \xrightarrow{dw} L^n \rightarrow 0.$$

Using this together with Poitou-Tate duality and some other results of Bellaïche-Chenevier, we show the following theorem.

Theorem B.

(a) The tangent space $\mathcal{D}_{\pi,\alpha}(L[\epsilon])$ is naturally identified with a certain global Selmer group $H_\alpha^1(\mathbf{Q}, \text{ad}\rho_\pi)$, which sits in a canonical five-term exact sequence

$$0 \rightarrow H_f^1(\mathbf{Q}, \text{ad}\rho_\pi) \rightarrow H_\alpha^1(\mathbf{Q}, \text{ad}\rho_\pi) \rightarrow L^n \xrightarrow{\mu_\alpha^\vee} H_f^1(\mathbf{Q}, \text{ad}\rho_\pi(1))^\vee \rightarrow H_\alpha^1(\mathbf{Q}, \text{ad}\rho_\pi(1))^\vee \rightarrow 0.$$

Here $(-)^\vee$ denotes L -linear dual, and $\mu_\alpha : H_f^1(\mathbf{Q}, \text{ad}\rho_\pi(1)) \rightarrow L^n$ is a certain canonically defined regulator map. The first three terms in this sequence are compatible (via localization at p) with the aforementioned short exact sequence.

(b) There is a canonical isomorphism $R_{\pi,\alpha} \otimes_\Lambda L \cong R_{\pi,\text{crys}}$.

(c) The ring $R_{\pi,\alpha}$ has tangent space of dimension $g = h_\alpha^1(\mathbf{Q}, \text{ad}\rho_\pi)$ and admits a presentation $R_{\pi,\alpha} \simeq L[[x_1, \dots, x_g]]/(f_1, \dots, f_r)$ where $r \leq h_\alpha^1(\mathbf{Q}, \text{ad}\rho_\pi(1))$. Furthermore, $h_\alpha^1(\mathbf{Q}, \text{ad}\rho_\pi) - h_\alpha^1(\mathbf{Q}, \text{ad}\rho_\pi(1)) = n - l_0$.

The relevant $R = \mathbf{T}$ conjecture is as follows:

Conjecture C. *There is an isomorphism $R_{\pi,\alpha} \xrightarrow{\sim} \mathbf{T}_x$ of Λ -algebras satisfying the usual compatibility between Frobenius and Hecke eigenvalues.*

Why should you believe in this conjecture? Most convincingly, it's true in the unitary group setting, thanks to results of Bellaïche-Chenevier, Chenevier, and Allen. There are also certain formal similarities on both sides: in particular, point (c) above implies that $R_{\pi,\alpha}$ has dimension $\geq n - l_0$ and is a complete intersection if equality holds, while recall we proved exactly the same result independently for \mathbf{T}_x !

Here is an arrangement of the rest of our results which differs a bit from the Theorem stated in our paper (but which I find compelling).

Theorem D. *Suppose there is a surjection of Λ -algebras*

$$R_{\pi,\alpha} \twoheadrightarrow \mathbf{T}_x$$

satisfying the expected compatibilities, and that μ_α is injective. Then:

(a) *The map $R_{\pi,\alpha} \rightarrow \mathbf{T}_x$ is an isomorphism of $n - l_0$ -dimensional regular local rings; in particular, the eigenvariety is smooth at x , and the dimension conjecture is true at x , so all the conclusions of Theorem A hold as well.*

(b) *We have $H_f^1(\mathbf{Q}, \text{ad}\rho_\pi) = 0$ and $\dim_L H_f^1(\mathbf{Q}, \text{ad}\rho_\pi(1)) = l_0$.*

(c) *The map μ_α induces a canonical isomorphism $H_f^1(\mathbf{Q}, \text{ad}\rho_\pi(1)) \cong V_x$, so we get a canonical action of $\Lambda^* H_f^1(\mathbf{Q}, \text{ad}\rho_\pi(1))$ on $H_{\pi,\alpha}^*$ with the latter free of rank one over the former.*

Here is an idea of the proof. By Newton's theorem mentioned above, we have $\dim \mathbf{T}_x \geq n - l_0$. On the other hand, the injectivity of μ_α implies $h_\alpha^1(\mathbf{Q}, \text{ad}\rho_\pi(1)) = 0$ and (by the Greenberg-Wiles duality formula) $h_\alpha^1(\mathbf{Q}, \text{ad}\rho_\pi) = n - l_0$, so by part (b) of Theorem B we deduce $R_{\pi,\alpha} \simeq L[[x_1, \dots, x_{n-l_0}]]$. Comparing dimensions, the map $R \rightarrow \mathbf{T}$ is an isomorphism. To deduce (b), we note that

$$R_{\pi,\text{crys}} \simeq R_{\pi,\alpha} \otimes_\Lambda L \simeq \mathbf{T}_x \otimes_\Lambda L \simeq L,$$

by Theorems A and B together with our knowledge of $R = \mathbf{T}$; since $H_f^1(\mathbf{Q}, \text{ad}\rho_\pi)$ is the tangent space of $R_{\pi,\text{crys}}$, its vanishing follows. The remainder of (b) follows from Greenberg-Wiles duality again.

For (c), let I be the kernel of the surjection $\Lambda \rightarrow \mathbf{T}_x$ as before; since \mathbf{T}_x is regular, the generators of I lie in $\mathfrak{m}_\Lambda \setminus \mathfrak{m}_\Lambda^2$ and we get a natural injection $V_x = I \otimes_\Lambda L \hookrightarrow \mathfrak{m}_\Lambda / \mathfrak{m}_\Lambda^2$. Now, dualizing the five-term sequence from Theorem B and noting that the outermost terms vanish, we get a short exact sequence

$$0 \rightarrow H_f^1(\mathbf{Q}, \text{ad}\rho_\pi(1)) \xrightarrow{\mu_\alpha} \mathfrak{m}_\Lambda / \mathfrak{m}_\Lambda^2 \xrightarrow{t} \mathfrak{m}_R / \mathfrak{m}_R^2 \cong \mathfrak{m}_\mathbf{T} / \mathfrak{m}_\mathbf{T}^2 \rightarrow 0.$$

Since $V_x \subseteq \ker t = \text{im } \mu_\alpha$ and $\dim V_x = h_f^1(\mathbf{Q}, \text{ad}\rho_\pi(1))$, μ_α induces an isomorphism

$$H_f^1(\mathbf{Q}, \text{ad}\rho_\pi(1)) \cong V_x$$

as desired.

We have one more conjecture. To state it, note that the natural restriction map $H^i(\Gamma_1(N), -) \rightarrow H^i(\Gamma_1(N; p), -)$ induces a graded isomorphism $r_\alpha : H_\pi^* \xrightarrow{\sim} H_{\pi,\alpha}^*$.

Conjecture E. *Suppose the hypotheses of Theorem D holds true for a fixed π and multiple α 's, so for each α we get an action*

$$\wedge^* H_f^1(\mathbf{Q}, \text{ad}\rho_\pi(1)) \rightarrow \text{End}(H_\pi^*)$$

by intertwining the action from part (c) of Theorem D with the isomorphism r_α . Then this action is independent of α .

This last action should be the p -adic completion of the ‘‘true’’ action of V_π on $H^*(\Gamma_1(N), \mathcal{L}_{\lambda, E})_\pi$ predicted by Venkatesh’s conjecture.

More on the regulator μ_α

In this section, we give two definitions of the regulator map μ_α from Theorem B. We hope to convince the reader that μ_α is a p -adic analogue of the Beilinson regulator for $\text{ad}M_\pi(1)$. This at least makes its injectivity morally reasonable.

The regulator map $\mu_\alpha : H_f^1(\mathbf{Q}, \text{ad}\rho_\pi(1)) \rightarrow L^n$ is defined as a certain composite

$$H_f^1(\mathbf{Q}, \text{ad}\rho_\pi(1)) \xrightarrow{\text{res}_p} H_f^1(\mathbf{Q}_p, \text{ad}\rho_\pi(1)) \xrightarrow{\nu_\alpha} L^n,$$

so we need to define the local regulator map ν_α . Our first definition of ν_α goes as follows. Recall the short exact sequence

$$0 \rightarrow H_f^1(\mathbf{Q}_p, \text{ad}\rho_\pi) \rightarrow H_\alpha^1(\mathbf{Q}_p, \text{ad}\rho_\pi) \xrightarrow{dw} L^n \rightarrow 0$$

of Bellaïche-Chenevier mentioned above. An easy snake lemma argument gives an associated short exact sequence

$$0 \rightarrow L^n = H_\alpha^1/H_f^1 \rightarrow H^1/H_f^1 \rightarrow H^1/H_\alpha^1 \rightarrow 0,$$

where $H_\bullet^1 := H_\bullet^1(\mathbf{Q}_p, \text{ad}\rho_\pi)$. By the self-adjointness of the crystalline Selmer condition under Tate local duality, taking the L -linear dual gives a short exact sequence

$$0 \rightarrow H_\alpha^1(\mathbf{Q}_p, \text{ad}\rho_\pi(1)) \rightarrow H_f^1(\mathbf{Q}_p, \text{ad}\rho_\pi(1)) \rightarrow L^n \rightarrow 0,$$

(this is the *definition* of the dual local condition $H_\alpha^1(\mathbf{Q}_p, \text{ad}\rho_\pi(1))$), and we define ν_α as the third arrow in this sequence.

To give our second definition of ν_α , we temporarily work in a slightly more general setting. Let V be an n -dimensional L -linear crystalline representation of $G_{\mathbf{Q}_p}$. We may identify $\mathbf{D}_{\text{crys}}(V) \cong \mathbf{D}_{\text{dR}}(V)$, so $\mathbf{D}_{\text{crys}}(V)$ has a canonical Hodge filtration by subspaces $\text{Fil}^i = \text{Fil}^i \mathbf{D}_{\text{dR}}(V)$. We shall assume that V has n distinct Hodge-Tate weights $w_1 < w_2 < \dots < w_n$, and that φ acting on $\mathbf{D}_{\text{crys}}(V)$ has n distinct eigenvalues $\varphi_1, \dots, \varphi_n$ which furthermore satisfy $\varphi_i \varphi_j^{-1} \notin \{1, p^{\pm 1}\}$ for $i \neq j$.¹ Fix an ordering $\alpha = (\alpha_1, \dots, \alpha_n)$ on the φ -eigenvalues. By our multiplicity-freeness assumption, our chosen ordering α determines a unique refinement

$$\mathcal{F}(\alpha) : \{0 \subsetneq \mathcal{F}_1 \subsetneq \mathcal{F}_2 \subsetneq \dots \subsetneq \mathcal{F}_n = \mathbf{D}_{\text{crys}}(V)\}$$

of $\mathbf{D}_{\text{crys}}(V)$ by the usual rule $\det(X - \varphi)|_{\mathcal{F}_i} = \prod_{1 \leq j \leq i} (X - \alpha_j)$. We suppose that this refinement is *noncritical*, i.e. that $\mathcal{F}_i \oplus \text{Fil}^{w_i+1} = \mathbf{D}_{\text{crys}}(V)$ for all $1 \leq i \leq n-1$. This assumption is absolutely essential in what follows. In the context of Theorems B and D, this noncriticality is guaranteed by our ‘‘small slope’’ assumption.

Our second construction of ν_α goes via the Bloch-Kato logarithm, with the target L^n now realized as a quotient of

$$\mathbf{D}_{\text{dR}}(\text{ad}V(1)) / \mathbf{D}_{\text{dR}}^+(\text{ad}V(1)).$$

Compare this structure to the target of the Beilinson regulator

$$\begin{aligned} r : \text{Ext}_{\mathcal{M}\mathcal{M}_{\mathbf{Q}}}^1(\mathbf{Q}(0), M) &\rightarrow \text{Ext}_{\mathcal{M}\mathcal{H}_{\mathbf{R}}}^1(\mathbf{R}(0), M_B \otimes \mathbf{R}) \\ &\cong (\text{Fil}^0 M_{\text{dR}} \otimes \mathbf{R}) \backslash (M_{\text{dR}} \otimes \mathbf{R}) / (M_B^+ \otimes \mathbf{R}). \end{aligned}$$

¹When V is the restriction of a representation of $G_{\mathbf{Q}}$ coming from a pure motive, the possibility $\varphi_i \varphi_j^{-1} = p^{\pm 1}$ is automatically ruled out, since the φ_i 's are then p -Weil numbers of some weight independent of i .

Set $D = \mathbf{D}_{\text{crys}}(V)$ for brevity, and set $\text{ad}D = \text{Hom}_L(D, D)$ with the induced φ -module structure and Hodge filtration. By the functoriality of \mathbf{D}_{crys} we have $\text{ad}D \cong \mathbf{D}_{\text{crys}}(\text{ad}V)$. Set

$$\text{ad}_{\alpha,0}D = \{f \in \text{Hom}_L(D, D) \mid f(\mathcal{F}_i) \subseteq \mathcal{F}_i \forall 1 \leq i \leq n\}$$

and

$$\text{ad}_{\alpha,-1}D = \{f \in \text{Hom}_L(D, D) \mid f(\mathcal{F}_i) \subseteq \mathcal{F}_{i-1} \forall 1 \leq i \leq n\}.$$

These are φ -stable subspaces of $\text{ad}(D)$, and

$$\begin{aligned} \text{ad}_{\alpha,0}D / \text{ad}_{\alpha,-1}D &\cong \prod_{i=1}^n \text{End}_L(\mathcal{F}_i / \mathcal{F}_{i-1}) \\ &\cong L^n. \end{aligned}$$

Let $q_\alpha : \text{ad}_{\alpha,0}D \rightarrow L^n$ denote the composite of this isomorphism with the projection $\text{ad}_{\alpha,0}D \rightarrow \text{ad}_{\alpha,0}D / \text{ad}_{\alpha,-1}D$.

Fact one: If W is any de Rham representation of $G_{\mathbf{Q}_p}$ with $\mathbf{D}_{\text{crys}}(W)^{\varphi=1} = 0$, the Bloch-Kato exponential induces an isomorphism

$$\exp_W : \mathbf{D}_{\text{dR}}(W) / \mathbf{D}_{\text{dR}}^+(W) \xrightarrow{\sim} H_f^1(\mathbf{Q}_p, W).$$

We write \log_W for the inverse isomorphism as usual.

One checks that under the assumptions above, the previous fact applies in the case $W = \text{ad}V(1)$, so we get

$$\log_{\text{ad}V(1)} : H_f^1(\mathbf{Q}_p, \text{ad}V(1)) \xrightarrow{\sim} \mathbf{D}_{\text{dR}}(\text{ad}V(1)) / \mathbf{D}_{\text{dR}}^+(\text{ad}V(1)).$$

Fact two (key observation): Under the isomorphism $\mathbf{D}_{\text{crys}}(\text{ad}V(1)) \cong \mathbf{D}_{\text{dR}}(\text{ad}V(1))$, the noncriticality assumption implies the direct sum decomposition

$$\mathbf{D}_{\text{dR}}(\text{ad}V(1)) = \mathbf{D}_{\text{dR}}^+(\text{ad}V(1)) \oplus (\text{ad}_{\alpha,0}D)(1),$$

i.e. $(\text{ad}_{\alpha,0}D)(1)$ splits the inclusion $\mathbf{D}_{\text{dR}}^+(\text{ad}V(1)) \subset \mathbf{D}_{\text{dR}}(\text{ad}V(1))$. In particular, we get a canonical isomorphism

$$\text{pr}_\alpha : \mathbf{D}_{\text{dR}}(\text{ad}V(1)) / \mathbf{D}_{\text{dR}}^+(\text{ad}V(1)) \xrightarrow{\sim} (\text{ad}_{\alpha,0}D)(1) \cong \text{ad}_{\alpha,0}D.$$

Theorem F. *The composite map*

$$q_\alpha \circ \text{pr}_\alpha \circ \log_{\text{ad}V(1)} : H_f^1(\mathbf{Q}_p, \text{ad}V(1)) \rightarrow L^n$$

coincides with ν_α .

It seems worth noting that in the global context above, the map

$$\log_{\text{ad}\rho_\pi(1)} \circ \text{res}_p : H_f^1(\mathbf{Q}, \text{ad}\rho_\pi(1)) \rightarrow \mathbf{D}_{\text{dR}}(\text{ad}\rho_\pi(1)) / \mathbf{D}_{\text{dR}}^+(\text{ad}\rho_\pi(1))$$

is conjecturally injective; indeed, this map is expected to coincide with the syntomic regulator, whose injectivity for pure motives of weight ≤ -2 seems to be a folklore conjecture.

After playing with duality, one reduces Theorem F to the following lemma.

Lemma G. *For V as above, the Bloch-Kato dual exponential $\exp^* = \exp_{\text{ad}(V)(1)}^*$ induces a short exact sequence*

$$0 \rightarrow H_f^1(\mathbf{Q}_p, \text{ad}V) \rightarrow H^1(\mathbf{Q}_p, \text{ad}V) \xrightarrow{\exp^*} \mathbf{D}_{\text{dR}}^+(\text{ad}V) \rightarrow 0,$$

and $H_\alpha^1(\mathbf{Q}_p, \text{ad}V) \subset H^1(\mathbf{Q}_p, \text{ad}V)$ is the preimage of $\mathbf{D}_{\text{dR}}^+(\text{ad}V) \cap \text{ad}_{\alpha,0}D$. Furthermore, there is a canonical isomorphism $\mathbf{D}_{\text{dR}}^+(\text{ad}V) \cap \text{ad}_{\alpha,0}D \cong L^n$ such that the induced map $\exp^ : H_\alpha^1(\mathbf{Q}_p, \text{ad}V) \rightarrow L^n$ coincides with the map dw .*

The proof of this lemma requires actually knowing something about the definition of $H_\alpha^1(\mathbf{Q}_p, \text{ad}V)$ in terms of the cohomology of (φ, Γ) -modules.

Question. Suppose $n = 4$ and π is such that $\rho_\pi \simeq \text{Ind}_{G_F}^{G_{\mathbf{Q}}} \chi$, where F/\mathbf{Q} is an abelian quartic CM field and χ is a p -adic Hecke character of F . Suppose for simplicity that p is split completely in F , so there is an ‘‘ordinary’’ refinement α . Can the injectivity of μ_α in this case be reduced to more familiar problems in the algebraic number theory of F , or perhaps even be shown unconditionally?